

Filling Out Application Form SE

*Detach and read these instructions before completing this form.
Make sure all applicable spaces have been filled in before you return this form.*

BASIC INFORMATION

When To Use This Form: Use a separate Form SE for registration of each individual issue of a serial, Class SE. A serial is defined as a work issued or intended to be issued in successive parts bearing numerical or chronological designations and intended to be continued indefinitely. This class includes a variety of works; periodicals; newspapers; annuals; the journals, proceedings, transactions, etc., of societies. Do not use Form SE to register an individual contribution to a serial. Request Form TX for such contributions.

Deposit to Accompany Application: An application for copyright registration must be accompanied by a deposit consisting of copies or phonorecords representing the entire work for which registration is to be made. The following are the general deposit requirements as set forth in the statute:

Unpublished Work: Deposit one complete copy (or phonorecord).

Published Work: Deposit two complete copies (or one phonorecord) of the best edition.

Work First Published Outside the United States: Deposit one complete copy (or phonorecord) of the first foreign edition.

Mailing Requirements: It is important that you send the application, the deposit copy or copies, and the \$20 fee together in the same envelope or package. The Copyright Office cannot process them unless they are received together. Send to: *Register of Copyrights, Library of Congress, Washington, D. C. 20559.*

The Copyright Notice: For works first published on or after March 1, 1989, the law provides that a copyright notice in a specified form "may be placed on all publicly distributed copies from which the work can be visually perceived." Use of the copyright notice is the responsibility of the copyright owner and does not require advance permission from the Copyright Office. The required form of the notice for copies generally consists of three elements: (1) the symbol "©," or the word "Copyright," or the abbreviation "Copr."; (2) the year of first publication; and (3) the name of the owner of copyright. For example: "© 1993 Jane Cole." The notice is to be affixed to the copies "in such manner and location as to give reasonable notice of the claim of copyright." Works first published prior to March 1, 1989, **must** carry the notice or risk loss of copyright protection.

For information about notice requirements for works published before March 1, 1989, or other copyright information, write: Information Section, LM-401, Copyright Office, Library of Congress, Washington, D.C. 20559.

PRIVACY ACT ADVISORY STATEMENT Required by the Privacy Act of 1974 (P.L. 93-579)

The authority for requesting this information is title 17, U.S.C. secs. 409 and 410. Furnishing the requested information is voluntary. But if the information is not furnished, it may be necessary to delay or refuse registration and you may not be entitled to certain relief, remedies, and benefits provided in chapters 4 and 5 of title 17, U.S.C.

The principal uses of the requested information are the establishment and maintenance of a public record and the examination of the application for compliance with legal requirements.

Other routine uses include public inspection and copying, preparation of public indexes, preparation of public catalogs of copyright registrations, and preparation of search reports upon request.

NOTE: No other advisory statement will be given in connection with this application. Please keep this statement and refer to it if we communicate with you regarding this application.

LINE-BY-LINE INSTRUCTIONS

Please type or print using black ink.

1 SPACE 1: Title

Title of This Serial: Every work submitted for copyright registration must be given a title to identify that particular work. If the copies or phonorecords of the work bear a title (or an identifying phrase that could serve as a title), copy that wording *completely* and *exactly* on the application. Give the volume and number of the periodical issue for which you are seeking registration. The "Date on Copies" in space 1 should be the date appearing on the actual copies (for example: "June 1981," "Winter 1981"). Indexing of the registration and future identification of the work will depend on the information you give here.

Previous or Alternative Titles: Complete this space only if there are any additional titles for the serial under which someone searching for the registration might be likely to look or under which a document pertaining to the work might be recorded.

2 SPACE 2: Author(s)

General Instructions: After reading these instructions, decide who are the "authors" of this work for copyright purposes. In the case of a serial issue, the organization which directs the creation of the serial issue as a whole is generally considered the author of the "collective work" (see "Nature of Authorship") whether it employs a staff or uses the efforts of volunteers. Where, however, an individual is independently responsible for the serial issue, name that person as author of the "collective work."

Name of Author: The fullest form of the author's name should be given. In the case of a "work made for hire," the statute provides that "the employer or other person for whom the work was prepared is considered the author." If this issue is a "work made for hire," the author's name will be the full legal name of the hiring organization, corporation, or individual. The title of the periodical should not ordinarily be listed as "author" because the title itself does not usually correspond to a legal entity capable of authorship. When an individual creates an issue of a serial independently and not as an "employee" of an organization or corporation, that individual should be listed as the "author."

Author's Nationality or Domicile: Give the country of which the author is a citizen, or the country in which the author is domiciled. Nationality or domicile **must** be given in all cases. The citizenship of an organization formed under United States Federal or state law should be stated as "U.S.A."

What is a "Work Made for Hire"? A "work made for hire" is defined as (1) "a work prepared by an employee within the scope of his or her employment"; or (2) "a work specially ordered or commissioned for use as a contribution to a collective work, as a part of a motion picture or other audiovisual work, as a translation, as a supplementary work, as a compilation, as an instructional text, as a test, as answer material for a test, or as an atlas, if the parties expressly agree in a written instrument signed by them that the work shall be considered a work made for hire." An organization that uses the efforts of volunteers in the creation of a "collective work" (see "Nature of Authorship") may also be considered the author of a "work made for hire" even though those volunteers were not specifically paid by the organization. In the case of a "work made for hire," give the full legal name of the employer and check "Yes" to indicate that the work was made for hire. You may also include the name of the employee along with the name of the employer (for example: "Elster Publishing Co., employer for hire of John Ferguson").

"Anonymous" or "Pseudonymous" Work: Leave this space blank if the serial is a "work made for hire." An author's contribution to a work is "anonymous" if that author is not identified on the copies or phonorecords of the work. An author's contribution to a work is "pseudonymous" if that author is identified on the copies or phonorecords under a fictitious name. If the work is "anonymous" you may: (1) leave the line blank; or (2) state "anonymous" on the line; or (3) reveal the author's identity. If the work is "pseudonymous" you may: (1) leave the line blank; or (2) give the pseudonym and identify it as such (for example: "Huntley Haverstock, pseudonym"); or (3) reveal the author's name, making clear which is the real name and which is the pseudonym (for example: "Judith Barton, whose pseudonym is Madeline Elster"). However, the citizenship or domicile of the author **must** be given in all cases.

Dates of Birth and Death: Leave this space blank if the author's contribution was a "work made for hire." If the author is dead, the statute requires that the year of death be included in the application unless the work is anonymous or pseudonymous. The author's birth date is optional but is useful as a form of identification.

Nature of Authorship: Give a brief statement of the nature of the particular author's contribution to the work. If an organization directed, controlled, and supervised the creation of the serial issue as a whole, check the box "collective work." The term "collective work" means that the author is responsible for compilation and editorial revision and may also be responsible for certain individual contributions to the serial issue. Further examples of "Authorship" which may apply both to organizational and to individual authors are "Entire text"; "Entire text and/or illustrations"; "Editorial revision, compilation, plus additional new material."

3 SPACE 3: Creation and Publication

General Instructions: Do not confuse “creation” with “publication.” Every application for copyright registration must state “the year in which creation of the work was completed.” Give the date and nation of first publication only if the work has been published.

Creation: Under the statute, a work is “created” when it is fixed in a copy or phonorecord for the first time. Where a work has been prepared over a period of time, the part of the work existing in fixed form on a particular date constitutes the created work on that date. The date you give here should be the year in which this particular issue was completed.

Publication: The statute defines “publication” as “the distribution of copies or phonorecords of a work to the public by sale or other transfer of ownership or by rental, lease, or lending”; a work is also “published” if there has been an “offering to distribute copies or phonorecords to a group of persons for purposes of further distribution, public performance, or public display.” Give the full date (month, day, year) when, and the country where, publication of this particular issue first occurred. If first publication took place simultaneously in the United States and other countries, it is sufficient to state “U.S.A.”

4 SPACE 4: Claimant(s)

Name(s) and Address(es) of Copyright Claimant(s): This space must be completed. Give the name(s) and address(es) of the copyright claimant(s) of this work even if the claimant is the same as the author named in space 2. Copyright in a work belongs initially to the author of the work (including, in the case of a work made for hire, the employer or other person for whom the work was prepared). The copyright claimant is either the author of the work or a person or organization to whom the copyright initially belonging to the author has been transferred.

Transfer: The statute provides that, if the copyright claimant is not the author, the application for registration must contain “a brief statement of how the claimant obtained ownership of the copyright.” If any copyright claimant named in space 4 is not an author named in space 2, give a brief statement explaining how the claimant(s) obtained ownership of the copyright. Examples: “By written contract”; “Transfer of all rights by author”; “Assignment”; “By will.” Do not attach transfer documents or other attachments or riders.

5 SPACE 5: Previous Registration

General Instructions: This space rarely applies to serials. Complete space 5 if this particular issue has been registered earlier or if it contains a substantial amount of material that has been previously registered. Do not complete this space if the previous registrations are simply those made for earlier issues.

Previous Registration:

- Check this box** if this issue has been registered in unpublished form and a second registration is now sought to cover the first published edition.
- Check this box** if someone other than the author is identified as copyright claimant in the earlier registration and the author is now seeking registration in his or her own name. If the work in question is a contribution to a collective work as opposed to the issue as a whole, file Form TX, not Form SE.
- Check this box** (and complete space 6) if this particular issue or a substantial portion of the material in it has been previously registered and you are now seeking registration for the additions and revisions which appear in this issue for the first time.

Previous Registration Number and Date: Complete this line if you checked one of the boxes above. If more than one previous registration has been made for the issue or for material in it, give only the number and year date for the latest registration.

6 SPACE 6: Derivative Work or Compilation

General Instructions: Complete space 6 if this issue is a “changed version,” “compilation,” or “derivative work” that incorporates one or more earlier works that have already been published or registered for copyright or that have fallen into the public domain. Do not complete space 6 for an issue consisting of entirely new material appearing for the first time such as a new issue of a continuing serial. A “compilation” is defined as “a work formed by the

collection and assembling of preexisting materials or of data that are selected, coordinated, or arranged in such a way that the resulting work as a whole constitutes an original work of authorship.” A “derivative work” is “a work based on one or more preexisting works.” Examples of derivative works include translations, fictionalizations, abridgments, condensations, or “any other form in which a work may be recast, transformed, or adapted.” Derivative works also include works “consisting of editorial revisions, annotations, or other modifications” if these changes, as a whole, represent an original work of authorship.

Preexisting Material (space 6a): For derivative works, complete this space and space 6b. In space 6a identify the preexisting work that has been recast, transformed, adapted, or updated. Example: “1978 Morgan Co. Sales Catalog.” Do not complete space 6a for compilations.

Material Added to This Work (space 6b): Give a brief, general statement of the new material covered by the copyright claim for which registration is sought. **Derivative work** examples include: “Editorial revisions and additions to the Catalog”; “Translation”; “Additional material.” If a periodical issue is a **compilation**, describe both the compilation itself and the material that has been compiled. Examples: “Compilation of previously published journal articles”; “Compilation of previously published data.” An issue may be both a derivative work and a compilation, in which case a sample statement might be: “Compilation of [describe] and additional new material.”

7 SPACE 7: Manufacturing Provisions

Due to the expiration of the Manufacturing Clause of the copyright law on June 30, 1986, this space has been deleted.

8 SPACE 8: Reproduction for Use of Blind or Physically Handicapped Individuals

General Instructions: One of the major programs of the Library of Congress is to provide Braille editions and special recordings of works for the exclusive use of the blind and physically handicapped. In an effort to simplify and speed up the copyright licensing procedures that are a necessary part of this program, section 710 of the copyright statute provides for the establishment of a voluntary licensing system to be tied in with copyright registration. Copyright Office regulations provide that you may grant a license for such reproduction and distribution solely for the use of persons who are certified by competent authority as unable to read normal printed material as a result of physical limitations. The license is entirely voluntary, nonexclusive, and may be terminated upon 90 days notice.

How to Grant the License: If you wish to grant it, check one of the three boxes in space 8. Your check in one of these boxes together with your signature in space 10 will mean that the Library of Congress can proceed to reproduce and distribute under the license without further paperwork. For further information, write for Circular 63.

9,10,11 SPACE 9,10,11: Fee, Correspondence, Certification, Return Address

Deposit Account: If you maintain a Deposit Account in the Copyright Office, identify it in space 9. Otherwise leave the space blank and send the fee of \$20 with your application and deposit.

Correspondence (space 9): This space should contain the name, address, area code, and telephone number of the person to be consulted if correspondence about this application becomes necessary.

Certification (space 10): The application cannot be accepted unless it bears the date and the **handwritten signature** of the author or other copyright claimant, or of the owner of exclusive right(s), or of the duly authorized agent of the author, claimant, or owner of exclusive right(s).

Address for Return of Certificate (space 11): The address box must be completed legibly since the certificate will be returned in a window envelope.

FORM SE

For a Serial
UNITED STATES COPYRIGHT OFFICE

REGISTRATION NUMBER



U

EFFECTIVE DATE OF REGISTRATION

Month

Day

Year

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

1

TITLE OF THIS SERIAL ▼

Volume ▼

Number ▼

Date on Copies ▼

Frequency of Publication ▼

PREVIOUS OR ALTERNATIVE TITLES ▼

2

a

NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼Was this contribution to the work a
"work made for hire"?
☐ Yes
☐ NoAUTHOR'S NATIONALITY OR DOMICILE
Name of CountryOR { Citizen of ►
Domiciled in ►WAS THIS AUTHOR'S CONTRIBUTION TO
THE WORK
Anonymous? ☐ Yes ☐ No
Pseudonymous? ☐ Yes ☐ NoIf the answer to either
of these questions is
"Yes," see detailed
instructions.

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed. ▼

☐ Collective Work Other:

NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼Was this contribution to the work a
"work made for hire"?
☐ Yes
☐ NoAUTHOR'S NATIONALITY OR DOMICILE
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of these questions is
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instructions.

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed. ▼

☐ Collective Work Other:

3

a

YEAR IN WHICH CREATION OF THIS
ISSUE WAS COMPLETEDThis information
must be given
in all cases.

Year

DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR ISSUE

Complete this information
ONLY if this work
has been published.

Month ►

Day ►

Year ►

Nation

4

COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as
the author given in space 2. ▼TRANSFER If the claimant(s) named here in space 4 is (are) different from the author(s) named in
space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright. ▼

APPLICATION RECEIVED

ONE DEPOSIT RECEIVED

TWO DEPOSITS RECEIVED

REMITTANCE NUMBER AND DATE

DO NOT WRITE HERE
OFFICE USE ONLYSee instructions
before completing
this space.

MORE ON BACK ►

- Complete all applicable spaces (numbers 5-11) on the reverse side of this page.
- See detailed instructions.
- Sign the form at line 10.

DO NOT WRITE HERE

Page 1 of _____ pages

EXAMINED BY

FORM SE

CHECKED BY

☐ CORRESPONDENCE
Yes

 FOR
COPYRIGHT
OFFICE
USE
ONLY

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

PREVIOUS REGISTRATION Has registration for this issue, or for an earlier version of this particular issue, already been made in the Copyright Office?

☐ Yes ☐ No If your answer is "Yes," why is another registration being sought? (Check appropriate box) ▼
a. ☐ This is the first published edition of an issue previously registered in unpublished form.b. ☐ This is the first application submitted by this author as copyright claimant.c. ☐ This is a changed version of this issue, as shown by space 6 on this application.

If your answer is "Yes," give: Previous Registration Number ▼

Year of Registration ▼

DERIVATIVE WORK OR COMPILATION Complete both space 6a and 6b for a derivative work; complete only 6b for a compilation.

a. Preexisting Material Identify any preexisting work or works that this work is based on or incorporates. ▼

b. Material Added to This Work Give a brief, general statement of the material that has been added to this work and in which copyright is claimed. ▼

—space deleted—

REPRODUCTION FOR USE OF BLIND OR PHYSICALLY HANDICAPPED INDIVIDUALS A signature on this form at space 10 and a check in one of the boxes here in space 8 constitutes a non-exclusive grant of permission to the Library of Congress to reproduce and distribute solely for the blind and physically handicapped and under the conditions and limitations prescribed by the regulations of the Copyright Office: (1) copies of the work identified in space 1 of this application in Braille (or similar tactile symbols); or (2) phonorecords embodying a fixation of a reading of that work; or (3) both.

a ☐ Copies and Phonorecordsb ☐ Copies Onlyc ☐ Phonorecords Only

See instructions.

DEPOSIT ACCOUNT If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account.
Name ▼ Account Number ▼

CORRESPONDENCE Give name and address to which correspondence about this application should be sent. Name/Address/Apt/City/State/ZIP ▼

Area Code and Telephone Number ►

CERTIFICATION* I, the undersigned, hereby certify that I am the

Check only one ►

☐ author☐ other copyright claimant☐ owner of exclusive right(s)☐ authorized agent of

of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge.

Name of author or other copyright claimant, or owner of exclusive right(s) ▲

Typed or printed name and date ▼ If this application gives a date of publication in space 3, do not sign and submit it before that date.

date ►



Handwritten signature (X) ▼

MAIL
CERTIFI-
CATE TOCertificate
will be
mailed in
window
envelope

Name ▼

Number/Street/Apartment Number ▼

City/State/ZIP ▼

YOU MUST:

- Complete all necessary spaces
- Sign your application in space 10

SEND ALL 3 ELEMENTS
IN THE SAME PACKAGE:

1. Application form
2. Nonrefundable \$20 filing fee in check or money order payable to *Register of Copyrights*
3. Deposit material

MAIL TO:

 Register of Copyrights
Library of Congress
Washington, D.C. 20559-6000

*17 U.S.C. § 506(e): Any person who knowingly makes a false representation of a material fact in the application for copyright registration provided for by section 409, or in any written statement filed in connection with the application, shall be fined not more than \$2,500.

April 1993—100,000

☆U.S. COPYRIGHT OFFICE WWW FORM: 1993