

Filling Out Application Form GATT

*Detach and read these instructions before completing this form.
Make sure all applicable spaces have been filled in before you return this form.*

BASIC INFORMATION

When to use this form: Use Form GATT on or after January 1, 1996, to register a copyright claim in a work in which U.S. copyright was restored under the 1994 Uruguay Round Agreements Act (URAA).

This form is appropriate to register a single work or a series of works published under a single title in multiple episodes, installments, or issues **during the same calendar year**. The author(s) for each work in the series must be the same, and the owner(s) of U.S. copyright for each work in the series must be the same. Note: The author and the owner of U.S. copyright do not have to be the same party.

Restoration of copyright: Works from any source country eligible under the URAA may be subject to automatic copyright restoration. However, to be so restored, a work must meet the following requirements:

1. The work is not in the public domain in its source country through expiration of the term of protection.
2. The work is in the public domain in the United States due to
 - a. noncompliance with U.S. copyright formalities;
 - b. lack of subject matter protection in the case of sound recordings fixed before February 15, 1972; or
 - c. lack of national eligibility.
3. The work has at least one author (or rightholder in the case of sound recordings) who was, at the time the work was created, a national or domiciliary of an eligible country.
4. If published, the work was first published in an eligible country and was not published in the United States during the 30-day period following publication in the eligible country.

Note about *architectural works*: Restoration of U.S. copyright for architectural works is available only to architectural works published or constructed on or after December 1, 1990.

Definitions:

Eligible country: A nation, other than the United States, that is a member of the Berne Convention, or a member of the World Trade Organization, or is subject to a presidential proclamation restoring the U.S. copyright to works of that nation on the basis of reciprocal treatment.

Source country of a restored work: A nation other than the United States.

For an unpublished work, the source country is the eligible country of which the author is a national or domiciliary. If a restored work has more than one author, the source country is the country of which the majority of foreign authors are nationals or domiciliaries. If the majority of authors are not foreign, the source country is the nation other than the United States that has the most significant contacts with the work.

For a published work, the source country is the eligible country in which the work was first published. If the restored work was published simultaneously in two or more eligible countries, the source country is the eligible country that has the most significant contacts with the work.

Owner of U.S. copyright: A claim in a restored work may be registered only in the name of the owner(s) of the U.S. copyright, that is, in the name of the owner(s) of all U.S. rights in that work. A licensee or other owner of only certain exclusive rights in a work is not permitted to register a claim in a restored work in his name.

Requirements for registration: Send a completed Form GATT, plus a deposit of the work(s) to be registered, and the \$20.00 filing fee together in one package addressed to:

URAA/GATT NIEs and Registrations
Southwest Station P.O. Box 72400
Washington, D.C. 20024 U.S.A.

For **delivery instructions for film prints** (16 or 35 mm), contact the Performing Arts Section of the Examining Division at (202)707-6040 or fax (202)707-1236.

Application Form:

Complete Form GATT legibly in black ink or type. Photocopies of the form are permitted if reproduced on good quality 8 1/2 by 11-inch white paper and printed head to head so that page two is on the back of page one.

Deposit:

Unpublished Sound Recordings: Send one phonorecord that best represents the copyrightable content of the work in which U.S. rights are restored and for which registration is being made, for example, audio tape, vinyl disc, or compact disc.

Published works: Send one copy that best represents the copyrightable content of each work in which U.S. rights are restored and for which registration is being made, in the following order of preference:

1. The work as first published;
2. A reprint or re-release of the work as first published;
3. A photocopy or identical reproduction of the work as first published;
4. A revised version containing a substantial amount of the copyrightable content of the restored work as first published, **together with** a written statement of the percentage of the restored work appearing in the revised version.

Exceptions: Please note the following exceptions to the deposit policy.

Previously registered works: No deposit needed for works previously registered in the U.S. Copyright Office.

Three-dimensional works of the visual arts: One or more photographs, preferably in color.

Machine-readable works: For works embodied only in machine-readable format,

- a. One machine-readable copy plus a descriptive statement* or
- b. Representative excerpts of the work, such as printouts or, if the work is an audiovisual work, a videotape.

* A **descriptive statement for a machine-readable work** is a separate written statement giving the title of the work, nature of the work (for example, computer program, database, videogame, etc.), plus a brief description of the purpose or subject matter of the work.

Fee: The \$20.00 filing fee may be paid in any of the following ways:

Checks, money orders, or bank drafts made payable to the Register of Copyrights and redeemable without service or exchange fees through a U.S. institution, must be payable in U.S. dollars, and must

be imprinted with American Banking Association routing numbers. Currency, international money orders, and postal money orders that are negotiable only at a post office are **not** acceptable.

Copyright Office deposit account: This is an account into which an applicant deposits money in advance for use in paying copyright fees. For information on deposit accounts, request Circular 5, "How to Open and Maintain a Deposit Account in the Copyright Office."

Credit cards (for use **only** in filings under the URAA): The Copyright Office will accept VISA, MasterCard, and American Express credit cards. Debit cards are **not** acceptable.

To charge the filing fee on a credit card, include in a separate letter the type of card, name of individual or firm as it appears on the card, the account number, expiration date, the total amount, and the signature of the card holder authorizing the Office to charge the fee to the account. **DO NOT GIVE CREDIT CARD INFORMATION ANYWHERE ON THE APPLICATION FORM ITSELF.**

For Further Information:

To request application forms or information about registration for works under URAA, contact:

Publications Section, LM 455
Copyright Office
Library of Congress
Washington, D.C. 20559-6000

Phone: (202) 707-3000

Fax: (202) 707-3698 (Forms and circulars will not be faxed, but sent by postal mail).

For online information (Internet):

For the World Wide Web use

<http://lcweb.loc.gov/copyright>.

Telnet or gopher to marvel.loc.gov

or the numeric address 140.147.248.7 (log in as "marvel").

PRIVACY ACT ADVISORY STATEMENT Required by the Privacy Act of 1974 (P.L. 93-579)

The authority for requesting this information is title 17 U.S.C., secs. 409 and 410. Furnishing the requested information is voluntary. But if the information is not furnished, it may be necessary to delay or refuse registration and you may not be entitled to certain relief, remedies, and benefits provided in chapters 4 and 5 of title 17 U.S.C.

The principal uses of the requested information are the establishment and maintenance of a public record and the examination of the application for compliance with legal requirements.

Other routine uses include public inspection and copying, preparation of public indexes, preparation of public catalogs of copyright registrations, and preparation of search reports upon request.

NOTE: No other advisory statement will be given in connection with this application. Please keep this statement and refer to it if we communicate with you regarding this application.

LINE-BY-LINE INSTRUCTIONS

Please type or print using black ink.

1 SPACE 1: Title

a. Give the full title of this work as it appears on the copy or phonorecord, followed by an English translation if appropriate.

For a series of works published under a single title in episodes, installments, or issues, give the single title as it appears on the copy of each issue, followed by the total number of issues included in this registration. **Example:** Title: "La Vie (52 issues)." English translation: "Life."

b. **Description of Work:** Check the box (or boxes) that best describes this work.

2 SPACE 2: Author(s)

Name at least one eligible author as determined by the applicable law of the source country. To list more than two authors, use a continuation sheet Form GATT/CON. For a published series, the author(s) must be the same for all episodes, installments, or issues.

For each author listed, give the nationality and domicile of that author at the time the work was created.

If the author is deceased, give the year of death.

3 SPACE 3: Year and Nation of Publication

Give the year and nation in which the work or series was first published.

4 SPACE 4: Owner(s) of U.S. Copyright

a. Give the name and address of the current owner(s). For a series of works published under a single title, the owner(s) of the U.S. copyright must be the same for all episodes, installments, or issues.

b. **Transfer of Copyright:** If the author(s) named at space 2 is not the current owner(s) of U.S. copyright, describe how the copyright was transferred. **Examples:** "assignment of all U.S. rights," "by contract," or "by will."

5 SPACE 5: Limitation of Copyright, when less than entire work is subject to registration

If the situation described in 1. or 2. below applies, complete this space giving a brief general description of what is being registered.

1. The restored U.S. copyright in part of this work is owned by another party. **Example:** If the owner named in space 4 owns the rights in the text of a book but the illustrations are owned by someone else, state "text."

2. The work is derived from or based on an earlier work. **Examples:** If the work being registered is a screenplay based on a novel, state "screenplay." If the work is a revised edition of a textbook and contains some new text, state "revisions and additional text."

6 SPACE 6: Registration Number and Year for Previous U.S. Registration

If this work was previously registered with the U.S. Copyright Office give the registration number and the year of registration, if known.

Examples: "Flemish Poets" Ai 345-123, 1970

"Concerto for Flute" Eu 123-456, 1963.

7 SPACE 7: Deposit Account, Correspondence

a. **Deposit Account:** Give the name and number of an existing deposit account. Do NOT give credit card information in this space or anywhere on the application form. Give credit card information in a separate letter.

b. **Correspondence:** Give the name, address, and telephone and fax numbers of the person to contact.

8 SPACE 8: Certification

The author or owner of U.S. copyright or the authorized agent must sign in ink.

9 SPACE 9: Mailing Address

Give the name and address of the party to whom the certificate of registration will be mailed. Information in this box must be legible (black ink or type) to assure delivery to proper address.

TXPAVASRSRU

EFFECTIVE DATE OF REGISTRATION

MonthDayYear

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE FORM GATT/CON.

1a

TITLE:

English translation:

b

DESCRIPTION OF THE WORK: *(Check one or more)*

☐ literary work

☐ pictorial, graphic, or sculptural work

☐ musical work, including any accompanying words

☐ motion picture or other audiovisual work

☐ dramatic work, including any accompanying music

☐ architectural work

☐ pantomime or choreographic work

☐ sound recording, created in _____(year)

2a

AUTHOR(S):

Name:

Citizenship (when work was created):

Domicile (when work was created):

Date of death:

b

Name:

Citizenship (when work was created):

Domicile (when work was created):

Date of death:

3

YEAR AND NATION OF PUBLICATION: *(If work was published, give the year and nation in which it was first published.)*

Year:

Nation:

4a

OWNER(S) OF U.S. COPYRIGHT:

Name:

Address:

b

Means by which copyright ownership was transferred
(if owner is not the author):

DO NOT WRITE HERE
OFFICE USE ONLY

APPLICATION RECEIVED

DEPOSIT RECEIVED

FUNDS RECEIVED

EXAMINED BY

FORM GATT

CHECKED BY

☐ CORRESPONDENCE
YesFOR
COPYRIGHT
OFFICE
USE
ONLY

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE FORM GATT/CON.

LIMITATION OF COPYRIGHT: If the registration does not extend to the entire work, describe the material that is covered by the registration:
(see instructions)

5

PREVIOUS REGISTRATION: If this work was ever registered in the U.S. Copyright Office, give the registration number and year if known:

6

DEPOSIT ACCOUNT If the registration fee is to be charged to a deposit account established in the Copyright Office, give the name and number of that account. **Do not give credit card information on this form.**

Name ▼

Account Number ▼

7

CORRESPONDENCE Give the name and address to which correspondence about this application should be sent. ☐ Same as space 4a.
☐ Same as space 9.

Area or Country Code and Telephone Number ►

Fax Number ►

CERTIFICATION* I, the undersigned, hereby certify that I am the: (Check one)

- ☐ Author
☐ Owner of U. S. copyright
☐ Agent of _____

(Name of author or owner of U.S. copyright)

of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge.

Typed or printed name:

Date:



Handwritten signature (X) ▼

8

**MAIL
CERTIFICATE
TO****Certificate
will be
mailed in a
window
envelope**

Name ▼

Number/Street/Apt ▼

City/State/ZIP/Nation ▼

YOU MUST:

- Complete all necessary spaces
- Sign your application in space 8

**SEND ALL 3 ELEMENTS
IN THE SAME PACKAGE:**

1. Application form
2. Nonrefundable filing fee
(See instructions)
payable to *Register of Copyrights*
3. Deposit

MAIL TO:URAA/GATT NIEs and Registrations
Southwest Station P.O. Box 72400
Washington, D.C. 20024 U.S.A.

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*17 U.S.C. § 506(e): Any person who knowingly makes a false representation of a material fact in the application for copyright registration provided for by section 409, or in any written statement filed in connection with the application, shall be fined not more than \$2,500.